

# Age Estimation using Facial Images: A Survey of the State-of-the-art

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**Abstract** - Age Estimation plays a significant role in many applications such as security control, multimedia communication, human computer interaction, and surveillance. Age estimation is a process of determining the age of a person using his biometric features. It is a challenging problem to effectively and automatically estimate ages of human. In this paper, we provide an overview of current state-of-the-art of the research in age estimation from facial images. Furthermore, a comparative study and critical analysis of the existing and recent algorithms is conducted. Hence the research gaps are identified for future work in age estimation problem.

**Keywords**—Age estimation, Feature Extraction, Biometric Features.

## I. INTRODUCTION

Age estimation is an important task in facial image classification.

Age estimation is a process of determining the age of a person using his biometric features [1]. The age definition by computer based on persons appearances called age estimation. The appearance of age is nearly close to the actual age. The aim of age estimation to estimate age closely to appearance as possible. Age classification from facial images has a series problem because the age of human differs based on many aspects which may be internal or external factors. Internal factors contract age include gender, genetic, etc. on the other hand, the external factors which affect the age include lifestyle, drugs, etc. those factors could make it problematic to frame the human growth pattern [2]. In the automatic age classification, the main aim is to improve a scared algorithm which allows classifying the age based on features extracted from facial images. The accurateness level is on the main challenges of the age classification which is caused by the difficulty of the human aging pattern. So it is not sufficient to classify the human age, but also important to predict it as surely as possible. Another problem related to the age prediction is the age groups range and this limitation is a key factor as different characteristics of aging pattern appear in different groups. Therefore the system got trained to cope with definite range might not be suitable for a various range of age group [2].

The age estimation problem presents extra unique challenges that contain [11]:

1) *Dependence on external factors*: Some external factors affect the age estimation process include psychology, health conditions, and lifestyle.

2) *Limited interage group variation*: Sometimes finding differences in the appearance is challenging task for adjacent age groups, this leads to difficulties in estimating ages. This difficulty is increased when dealing with mature subjects.

3) *Data availability*: Developing accurate systems for age estimation needs the availabilities of suitable datasets for training and testing. These datasets must consist of many images for the same subject covering a wide range of age.

Collecting such datasets necessitates images taken from the past. The currently available datasets FG-NET and MORPH that support facial aging experimentation have a limitation that the FG-NET database consists of images showing significant

non-aging related variation and the MORPH database consists of for each subject only little samples.

4) *The diversity of aging variation*: Facial wrinkles amount may differentiate between individuals related to the same age group. As a result of that for different groups of subjects, different approaches for age estimation may be needed.

The remaining of this paper is structured as follows. In Section 2, the literature review is introduced. In Section 3 age estimation models for facial representation in order to extract useful features are described. And section 4 concludes the paper.

## II. MOTIVATION

There are several known world applications that deal with facial age estimation directly or indirectly. If we need to label someone with age instead of his identity it is useful to use age estimation through the automated system. These are some examples of the applications:

1) *Age-based retrieval for image faces*: Depending on basics of age, an indexing in the database of face images can be done. It seems useful in recalling of images based on an age, for example, to discover what percentage of teenagers prefers laptops over desktops [4]

2) *Security Control*: To identify a user's face is the easiest biometric trait to be used in Security Systems. Face recognition is commonly used to secure private systems from unauthorized users. The quality of security provided by face recognition systems is hindered by time which is the only obstacle. This can be reduced by the combination of facial age estimation and face recognition [4]

3) *Forensic Art*: The knowledge of the shape of faces, aging of the human body, psychology, perception is the forensic art. In this art a basic practice is that; changes in face age progression are projected in the photograph by automated systems or artists to include age effects. Forensic artists use age estimation by automated systems to draw face sketch for lost person identification and to find possible suspects [3]

4) *Surveillance*: These days surveillance monitoring is considered as one of the difficult tasks comparing with the easily accessible information. Immature cannot be allowed to enter mature places or use tobacco by using monitoring camera and age estimation. Also, children are forbidden to access adult websites by the same way [3]

### III. AGE ESTIMATION MODELS FOR FACIAL

Facial representation has many different models to extract useful features for age estimation purpose. They are recognized in [1], [3], [12], [30], and [31] which are:

1. Anthropometric Model Active
2. Aging pattern subspace Model
3. Aging Manifold
4. Active Appearance Model

#### A. Anthropometric model:

This model measures the sizes and proportions of human faces.

. It consults research associated to the growth and development of craniofacial. Theory of Craniofacial research deals with a mathematical model to describe the head of the person from birth to adulthood [1]. Farakas [32] provided a facial anthropometry overview. Facial anthropometry is defined as measures over 57 facial points and landmarks at different ages from infancy to adulthood. Using these measures, computational methods can be developed to make face characterization at different ages.

Anthropometric model is focused on the human face ratios, as shown in Figure 1.

This model is doing well with the people classification in and minors, but it provides bad results in estimating adults' ages. It is suitable for younger people. It concerns only on geometry of face, no information of texture is taken [1].

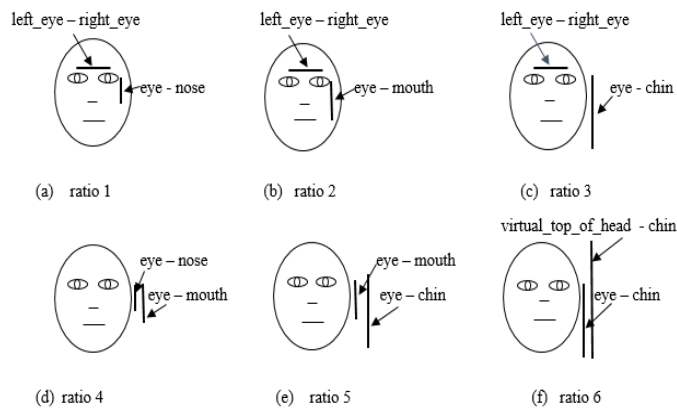


Fig. 1 Ratios on human face [30]

#### B. Aging pattern subspace model:

This model uses a series of facial images instead of using images separately to model the process of aging. These images are sorted by time. This model is called Aging pattern Subspace (AGES). It involves two phases. The first phase is the learning phase, the second phase is the age estimation phase.

In the first phase, the subspace representation is gained using the PCA. It differentiates from the standard PCA in that no images for each aging pattern for each year are founded. For that reason, a method of iterative learning is used which is EM

(Expectation-Maximization) to reduce reconstruction error.

This error is the difference between the face reconstructed images and the available images of the face. In the second phase, the test image requires to discover a pattern of aging that suits the test image, and the exact estimated age of a person for the sample. The importance of the AGES is the use of images for the human at different ages to get the aging pattern [1][3].

#### C. Aging manifold:

This model learns the age pattern for more than one human in diverse ages instead of learning it for each human. More than one image for each age is used to represent the age. It is flexible and better than AGES model because a number of facial images can be used for each person in single age or age range. It is also easier to gather a greater number of facial images (samples) and construct a bigger database. A manifold embedding technique is used in this model to learn a low-dimensional aging trend of the same age for several face images. The size of the sample for this model must be large enough which helps the embedded manifold to get the statistical sufficiency. [1]

#### D. Active Appearance Model:

Cootes et al. [33] proposes the active appearance model (AAM) which is a statistical model used for facial image representation. A set of landmarks that are labeled manually are used to encode the structure of the face, like facial contour, mouth, nose, eyes, and so on. Then PCA is

applied to those landmarks to find the shape representation. To gain the representation of every new face the AAM models can be used for new images. The AAM can be applied to diverse tasks of face processing and it is a general method for face encoding [3].

#### IV. RELATED WORKS IN FACIAL AGE ESTIMATION

In the recent years, many efforts have been devoted to age estimation study for the human images [1]. A good starting point to explore estimating ages from facial images is the survey paper on Human Age Estimation using Face Images by Petra GRD [1]. Facial aging is divided into two stages in age estimation, the first one is from birth to adulthood and the second is the time from the end of growth of old age, which most changes in the first phase while the main changes in the second one are changes in skin texture because the skin becomes darker, thinner, more leathery and less elastic.

During craniofacial growth the size of the face becomes larger and during the adult aging the changes of shape still continue, but fewer dramatically due to typical patterns in tissue and skin [3]. Facial representation has many models, which are: Anthropometric Model, Active Appearance Model

(AAM), Aging Pattern Subspace and Age Manifold. Anthropometric Model consults studies in craniofacial theory, which uses a mathematical model to define the person's head growth from infancy to adulthood. While the active appearance model is a statistical model for facial image based on the principal component analysis. An aging pattern is declared as a series of personal facial images for the same individual. At Age Manifold common pattern or aging, the trend can be studied for many persons at different ages. The major two phases in the age estimation process are feature extraction and classification. Intensity encoding features, Bio-inspired features, Local Binary Pattern (LBP), Linear Discriminant Analysis (LDA) etc. are the common methods for feature extraction [4]. Feature extraction is the most significant part of the age estimation process. A great number of features extracted lead to having lower Mean Absolute Error (MAE) for researchers. The classification process contains two phases, training and testing to predict ages. There are three categories of facial features which are local features, global features and hybrid features. Global features consist of the whole characteristics of individual like gender, identity, ethnicity and expression along with characteristic of aging. Global features give well information about person characteristics such like the shape and the appearance of the face compared by the wrinkles and skin.

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For that reason they classify facial images into age groups. Hybrid feature is a combination of local and global features. They were proposed using a hierarchical face model through

constructing a number of features containing AAM, hair, wrinkles, skin and face configuration features.

There are three methods that can be used to learn the aging function which are: regression, classification, and hybrid [6]. Classification is used when each age label is considered as one class. Many classifiers can be used such as SVMs, KNNs and artificial neural networks (ANN). We can view age estimation also as a regression problem because the age labels are continuous numbers. To learn the aging function several

regressors can be used such as a multiple linear regressor and the quadratic function for regression. Also to know which is better using regression or classification we can compare them on multiple datasets empirically and take advantages of both [7].

The formulation of suitable metrics for evaluating the performance of age estimators is a significant aspect of the age estimation problem [34]. The Mean Absolute Error (MAE) is the most commonly used error metric between actual and estimated ages of faces in a test set. The use of the cumulative score (CS) also is proposed by Geng et al. [35]. CS presents the percentage of cases in the test set that its age estimation error obtained is less than a threshold. It is considered as a more representative measure relative to the age estimator performance. When the age-group estimation is considered, for performance evaluation purpose a percentage of correct age-group classifications can be used [34].

Recently available datasets that can be used for classification or age estimation are: MORPH Database, Fg-net Aging Database, AI-R Asian Face Database, LHI Face Database, YGA Database, HOIP Face Database, PAL database, WITDB

Database, Burt's Caucasian Face Database, Iranian Face Database, Gallagher's Web-Collected Database and Ni's Web-Collected Database [7].

An overview of the method of a new database creation for classification and age estimation is provided [7].

The new proposed database ageCFBP can be also used for gender classification or face recognition. When comparing the Fg-net database with ageCFBP, the results show that the ageCFBP database has more subjects while the Fg-net database has a wider age range. An approach is suggested for age estimation which uses biometric ratio and wrinkles analysis for facial images. The methodology is independent of race and color by using the ratio instead of distance. This shows that it is easier to predict the lower age group than the older ones. This approach has limitation such as its failure when the forehead or other wrinkle areas are covered with hair [8].

A fast age and gender estimation system for facial images is developed [9]. Principal Component Analysis (PCA) method and geometric feature based method are used to extract features. Then a KNN classifier is used for classification phase. The results illustrate that better age estimation and gender classification.

Various methods for age estimation are investigated. A new model is proposed for age estimation using a group of features that extracted from facial images through

many algorithms which are active shape model, local binary patterns (LBP) and the histogram of oriented gradients (HOG) [10]. three age groups are used to estimate ages: child, adult, senior. In classification face K-nearest neighbor (KNN), gradient boosting tree (GBT) and support vector machine (SVM) are used. The FG-NET aging database is used to evaluate the proposed model. The results obtained show that 82% success rate is gained when using the GBT classifier. Principal Component Analysis (PCA) is used to predict age [11]. 7 different groups for ages have been divided for facial images from 10 to 60 years old. The feature extraction is done through principal component analysis (PCA) method and the geometric feature-based method. The results indicate that the systems performance in age prediction is 92.5%. There is a limitation in predicting ages for people wearing glasses, have disappearance of wrinkles and make modification of eyebrows by makeup.

A biologically inspired features (BIF) has been investigated to estimate human age from facial images. Gabor filters pyramid have been used as previously in bio inspired models at all image points for the S1 units [12]. They found that using pre-learned prototypes of S2 layer which is then developed to C2 did not do well for estimating ages. Gabor filters are used with smaller sizes. A new operator named STD has been proposed to encode the faces ages. The evaluation is done based on two databases YGA which has 8,000 facial images and FG-NET database which is public and available. The results indicate that their method improve the accuracy of age estimation over the state-of-the-art methods.

A system for estimating age in groups is proposed [13]. The system is designed for the gray-scale images. They categorized all images into four groups: babies, young adults, middle aged adults, and old adults. The system process consists of three phases which are location then extracting features and finally classifying ages. They determine the position of noses, mouth, and eyes using region labeling and the operator of Sobel edge based on the gray levels variation and the human faces symmetry. Then they obtained three wrinkle features and two geometric features from the faces. Finally classification is done by neural networks. Two classifiers are used, the first one used the geometric features to decide if the image is belong to baby. If the facial image didn't belong to baby, then the another classifier use the wrinkle features to categorize the facial image to one of the other three groups. The experiments is made on database contains 230 facial images. 115 of the images used for training and the other images used for testing. Time used for classifying image was 0.235 second. The experiment results show that the accuracy of the system is 81.58

A novel framework for estimating ages is developed based on the manifold analysis of facial images [14]. They apply the learning methods of manifold to get a sufficient embeddingspace. Also they use a function of multiple linear regression to solve the low-dimensional manifold data. The results indicate that the framework was very effective. The experiments were on a large dataset of images.

A system based on the method of the bio-inspired features (BIF) for age estimation is presented [15]. BIF has been combined to the Active Shape Model (ASM). Then fine features from facial images are extracted to make the experiments. The MORPH and FG-NET benchmark databases are used for evaluation purpose. Their algorithm gives higher accuracy than other methods. Their future work will be in using the Active Appearance Model and Gabor functions.

A framework is presented for facial age evaluation centered on joint of single age evaluators [16]. Experimental and mathematical proofs show, if the individual age evaluators are varied in error, and so to advance the outcome the ensemble age estimator can be made using the best selected individual age estimators. It is accentuated that despite the neural networks done to show the experiments, the suggested context is readily relevant to any other regressor.

A classified method is suggested for estimating age automatically and an investigation of how aging affects single facial mechanisms is offered [17]. Investigational outcomes on the FGNET, MORPH Albums, and PCSO databases display that nose and eyes are more revealing than other facial mechanisms in estimating age automatically. Human observation capacity for estimating age is assessed using gathering obtained data gained via the Amazon Mechanical Turk service and paralleled with the presentation of the suggested automatic age estimation. The presentation of the planned age estimation approach is shown to be better than or equal to the age estimates delivered by individuals on FG-NET and a less subsection of PCSO database record. We however report a paralleled presentation to the finest recognized outcome on MORPH Album2 and organize so without looking at the benefits of the real demographic info delivered with the database, yet the combination of per-component age estimator showed no benefits on the MORPH Album2 and PCSO databases. The future work will be done through investigation approaches to develop our accuracy detecting key point automatically.

Two novel approaches have been presented in this paper [18]. the first one is a simple effective descriptors fusion based on local appearance and texture. And the second one is a scheme of deep learning to estimate accurate age. The two approaches under multiple settings are evaluated and the experiments are done on two big databases, which are FRGC and MORPH. Experiments show better results compared by the previous works.

A system has been implemented to prevent children from accessing the materials or contents of adults in the internet and stop young children from buying cigarette, alcohol, etc. [19]. All the images are preprocessed and filtered to get good results. Viola-Jones algorithm is used to extract features. And the KNN classifier is trained and tested through the extracted features in the final stage. The results show that the K-NN classifier works better than other classifiers for the age-group prediction. Their future work will be in creating Indian Aging Database and using it.

The methodologies in each phase is analyzed which produce better results [20]. Discrete Cosine Transform method works better in extracting local and global features for facial images as founded. Extracting global features help in recognizing the age group classification. HOG, LBP and DCT Mod2 methods are used in feature extraction stage. Then a comparison is done against each other. Results indicate that DCT method provides better accuracy. The key challenge was finding the best features combination (angle, distance and ratio).

Age Estimation method named Global and Local feature based Age estimation (GLAAM) is introduced which rely on local and global features [21]. Active Appearance Models (AAM) is used to obtain the global features while regional 2DDCT (2-dimensional Discrete Cosine Transform) is used to extract the local features. After that global and local features of the images are combined together. The FGNET aging database is used in the experiments. Results display that GLAAM works better than existing methods. GLAAM can be improved by using Principal Component Regression, as it combines

Regression and PCA in the same stage.

For age feature extraction a new framework is built which is based on the deep learning model. They use the convolutional neural network (CNN) to estimate ages [22]. As a comparison between their model and existing models, feature maps gained from different layers are used for age estimation as an alternative of using a feature gained from the top layer.

Furthermore, for their proposed scheme they incorporate the algorithm of manifold learning. This significantly increases the performance. Also the deep learned aging pattern (DLA) is used to evaluate different regression and classification schemes for age estimation. Their results based on two datasets indicate that their approach is better than the state-of-the-art significantly.

In human age estimation, a novel kernel method to create statistics of local binary pattern is proposed for facial images [23]. Their main work contributions consist of evaluating a pose correction method by using a simple image flipping, and using facial representations to compare two local binary patterns. The two local binary patterns called a novel kernel density estimate and a spatially enhanced histogram. The experiments result in cross- and single-database shows that that the kernel density estimate produces better accuracy in age estimation than the spatially enhanced histogram. The constructed system for age estimation gives better performance beside the state-of-the-art methods.

A method for subjective age estimation is proposed [24]. They use facial images of people and real age. A rating scale for the faces is experimented. Subjects are simulated through it. The image is evaluated as seeing older than the real with a range of response. The experiments results indicate that subjective age turns to be in negative direction (estimating facial image age as younger than the real age). In the future they plan to test objective age which is the age defined by others as it appears to them.

A new method based on divide-and-conquer is proposed for facial images which named fusion of multiple binary age grouping estimation systems [25]. Firstly a several binary grouping systems are employed to classify age groups. All images have been classified to the two predefined groups. For age estimation purpose they trained two models. The influence of diverse age grouping systems performance is investigated for age estimation error and age grouping accuracy. A sequentially selection algorithm is proposed to find the last result of age estimation. They use MORPH2 database in their experiments and results indicate that the proposed framework achieves satisfying results.

It is shown that such aged shapes can be successfully removed from a discriminant subspace knowledge procedure and pictured as separate assorted constructions over the various process of inquiry on face pictures, the dimensionality repetition of the new picture space can apparently be less with subspace learning [26]. A manifold lined reversion method, exactly with a quadratic ideal purpose can be simplified by the little dimensionality to display the diverse space symbolizing the discriminative assets. A treating like this has been assessed by wide imitations and matched with the state-of-the-art procedures.

Investigational outcomes on big scope aged database prove the value and strength of our future framework.

In [27] accuracy of binary classification has been improved when using the Kwon-Lobo papers features and two novel features. Combining wrinkle analysis enhanced results of 96

A preprocessing stage for all used images is made to strength their system [28]. This stage includes detection of nose and eyes. Gabor filter and local binary patterns (LBP) are used to extract features for age estimation. Histograms from LBP analysis is used for feature classification stage. FGNET aging database is trained and results of the images trained give accuracy of 39.8

An effective system of age estimation is presented for facial images [29]. The system uses 2D-Gabor filter for feature extraction. Then Multi linear Principle Component Analysis (MPCA) is used to compress the extracted features. For classification phase the K-NN classifier assigned the input image into one of the age groups. All ages are categorized into 10 groups. A large Indian database for facial images has been developed to find the exact age. The database consists of

750 Indian images, their ages between 0 and 74. They tried to gain maximum Indian images at different ages.

## V. DISCUSSION

A comparison is presented in table 1 for some of the existing and recent algorithms for age estimation problem. The table displays that the existing methods either use local features like wrinkles or represent faces with a holistic representation. The table discusses the techniques and database used in different papers. Classifier, results, Procs and Concs are also shown. As mentioned using wrinkles to estimate age increases the

performance of these systems, but sometimes these systems fail because it gets higher edge density when there is hair in the forehead, which the system perceives as wrinkles [8]. And this provides wrong results for ages that have been estimated. The FG-Net dataset which is public was used by most of the researchers for the experiment results purposes [17, 20, 21, 22, and 27]. Another database; which is one of the largest Indian facial image dataset is developed; gives 80 percentage as determined as high result, but ages are estimated in groups only and also this dataset did not have large numbers of facial images of people at different age [29]. Deep learning techniques have been introduced and used for the first time for age estimation purpose [22] and this increases the performance as compared by the state of the art. The two age estimation algorithms used are classification and regression. For

classification, K-nearest neighborhood and SVM are the common methods. Still there are research gaps to improve accuracy in age estimation.

Techniques	Database used	Classifier	Results	Pros	Cons
Canny Edge Detection, Biometric ratios and wrinkle analysis	N/A	K-NN Classifier, Decision Tree, Naive Bayesian Classifier	K-NN shows better recall and precision for middle ages.	Incorporation of wrinkle increases the performance	Get higher edge density when there is hair in forehead, which the system perceives as wrinkles.
Principal Component Analysis (PCA), Neighborhood Preserving Projections (NPP), Locality Preserving Projections (LPP), Orthogonal LPP and manifold learning	UIUC-IFP Age Database	Regression model, 4 nearest neighbors	Compared with PCA and NPP, LPP and OLPP has more stable and unified distributions of aging pattern discriminating power against error level and dimension changes.	The first work involving the manifold ways of age estimation, the images have high-resolution	-----
Holistic BIF features, eye region BIF	The FG-NET, MORPH Album2, and PCSO databases	SVM classifiers	On FG-NET the MAE is 4.7 with a variance of 24.8, while on the PCSO data the MAE is 7.2 with a variance of 32.0	Improve age estimation performance by fusing different features for different age groups.	The accuracy varies when using a big subset of PCSO databases. Demographic information is not considered.
Local Binary Patterns (LBP), Speeded-Up Robust Features (SURF), Histograms of Oriented Gradients (HOG)	MORPH dataset, FRGC dataset	Regression (Canonical Correlation Analysis (CCA) and its derivations )	The early fusion of HOG, LBP and SURF improves over the best MAE score reported resulting in 4.25 years compared to the 4.42.	Using a deep analysis of the different parameters for the compared feature detectors	Need larger images for their experiment which is not available
Principle Component Analysis (PCA), Local binary patterns (LBP), Histograms of Oriented Gradients (HOG) and Discrete Cosine Transform (DCT)	The FG-NET database	Neural network, nearest neighbor, KNN, hierarchical classifier, AGES, SVM	DCT method provides better accuracy	feature normalization enhances the contrast of images	Challenge lies in identifying the best combination of the features (distance, ratio and angle).
Active Appearance Models (AAM), 2-dimensional Discrete Cosine Transform and Principal Component Analysis	The FG-NET database	Multiple linear regression	Achieves better results than earlier methods like AAS and AGES on the FG-NET database	Use global and local features of facial images which obtain better performance	Did not use methods that do not require normalization such as SIFT and ASIFT.
Deep learning techniques	MORPH	SVMs, SVR, PLS and	With manifold	The first time	-----

and manifold learning algorithm	dataset, FG-NET database	CCA	learning, the MAE obtained on MORPH is 4:77.	that deep learning is applied for age estimation problem	
Manifold representation, Conformal Embedding Analysis	the UIUC-IFP database	Quadratic regression	CEA shows its superiority for most of dimensionality reduction cases.	CEA increase the performance	The results depend on regression.
Wrinkle analysis, Feature based on face shape, based on the relative areas of eyes to the rest of the face.	The FG-NET aging database	SVM regression	binary classification accuracy improved (97.84% vs. 92.94% AUC). 96 % total accuracy	Wrinkle analysis pushed classification accuracy even higher	Resolution of image not high, when there hair in faces this appear as wrinkles which get inaccurate results
2D-Gabor filter, Multi Linear Principle Component Analysis (MPCA)	Indian Facial Image Databases, Georgia Tech Database	K-NN Classifier	Near about 80% result	Develop one of the largest Indian facial image database	Estimate age in group. Did not have large numbers of facial images of people at different

TABLE I  
A COMPARISON OF SOME OF THE PUBLISHED METHODS FOR AGE ESTIMATION.

## VI. CONCLUSION

Age estimation is an important task in facial image classification. It is the process of determining the exact age or age group of a person using his biometric features. Many known world applications deal with facial age estimation directly or indirectly like: security control, multimedia communication, human computer interaction, and surveillance. In this paper existing and recent researches in the age estimation field have been discussed. A lot of researchers made a contribution and still working to optimize this field. All the state-of-the-art techniques for age estimation are presented. Along with the state-of-the-art techniques, age estimation models for facial representation in order to extract useful features are described: anthropometric model, active appearance model, aging pattern subspace and age manifold. There are several challenges in order to implement a robust system for age estimation. We identify the main challenges which may motivate novel investigations in the future.

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